

Changing researcher assessment and RC: policy insights

Sanli Faez, *Program Manager for the Netherlands Recognition and Rewards program,* Utrecht University

Marjon van Wijnbergen, HR manager Research BV, Amsterdam UMC

[coffee break]

Insights from CATALISI

Miriam van Loon, Amsterdam UMC

Rita F. Alves dos Santos, NRIN Coordinator and RIOS project

lead, Amsterdam UMC

Laura Bernal Sánchez, Technical secretary for Ethics Committee, Universitat Jaume I (UJI)

12:00

Group sessions on stimulating a positive RC



Sanli Faez, Program Manager for the Netherlands Recognition and Rewards program, Utrecht University Marjon van Wijnbergen, HR manager Research BV, Amsterdam UMC 10:00-11:00



CATALISI

Catalysation of institutional transformations of Higher Education Institutions through the adoption of acceleration services

Coffee Break until 11:15

Changing researcher assessment and RC: policy insights - 2



Insights from CATALISI

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Group sessions on stimulating a positive RC





Definition of research culture

Research culture encompasses the **behaviours**, **values**, **expectations**, **attitudes** and **norms** of our research communities. It influences researchers' career paths and determines the way that research is conducted and communicated.'

Research Culture | Royal Society

'The way we do research around here' (based on Godfrey's definition of culture, 2001)

→ (HOW) Can culture be influenced?

Research Questions

1 - How can research culture be defined?

2 - How do researchers perceive research culture?

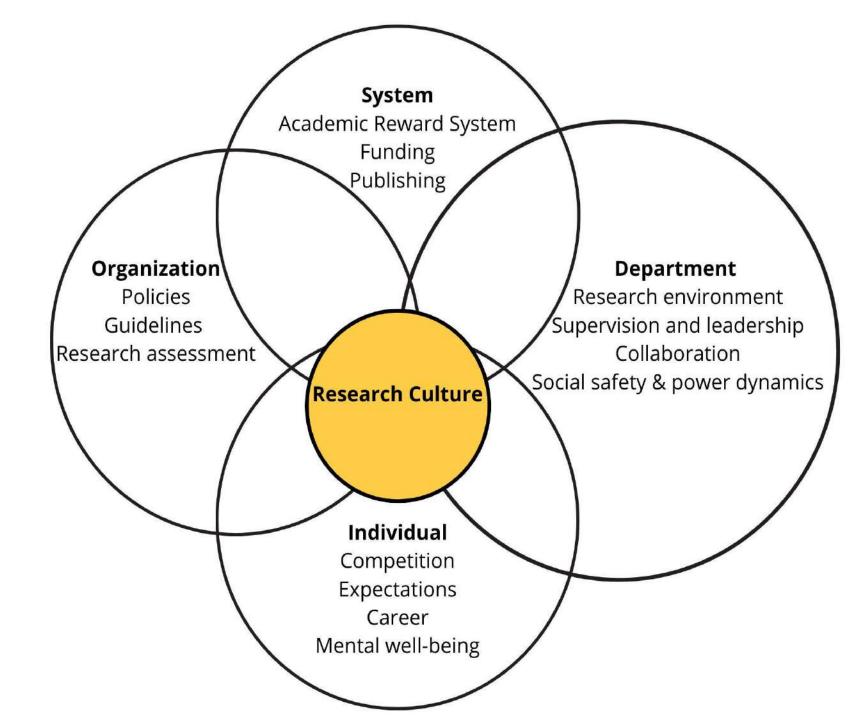
3 - How could a positive RC be fostered or stimulated at different levels?



Methodology

- Semi-structured interviews
- Respondents with different roles, disciplines, faculties, departments
- ❖ Topic list: levels of RC
- Social-constructionist perspective
- Thematic analysis inductive-deductive approach

Levels of research culture





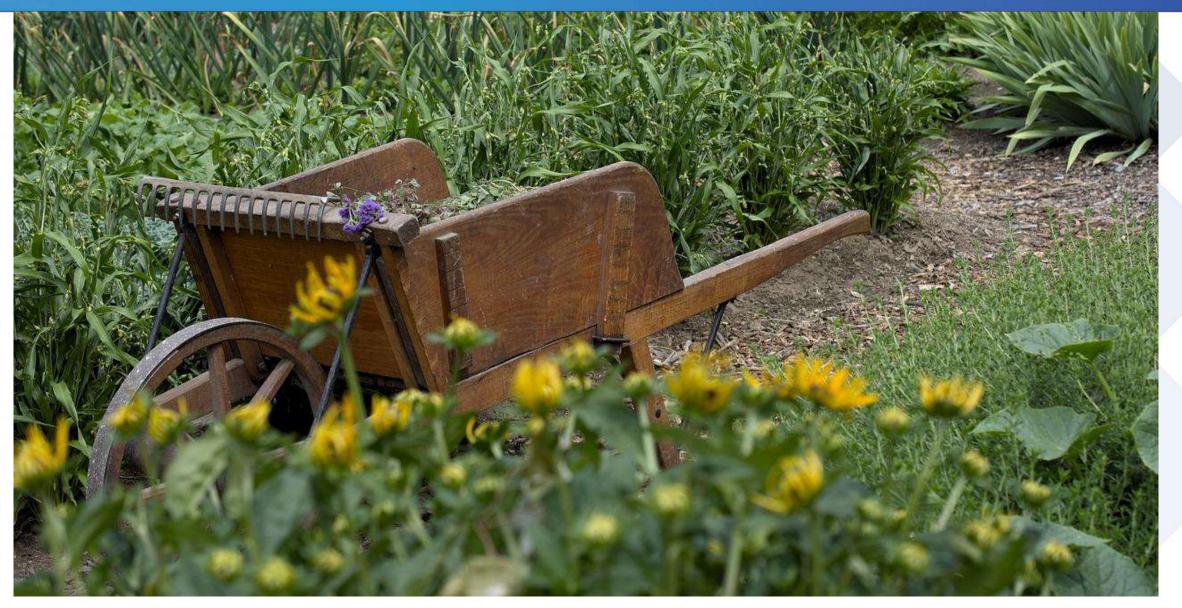
preliminary Results

- 15 researchers
- Experiences and perspectives differ related to one's role in the academic system

What are aspects of (a positive) RC?

- System level: Open Access & Transparency. Academic Reward System; individually focused, production publising & output
- Organization level: Recognition and Reward; qualitative and broader evaluation of career (too broad?)
- Recognizing and professionalising academic leadership, professionalisation of supervision
- **Department**: open and transparent culture, contact and interaction with colleagues. Collaboration and team work
- Good supervisors: stimulate (professional) development
- Individual: intrinsic motivation of researchers; juniors: perfectionism and loneliness; seniors: freedom and expertise







Stimulating a positive RC 1

- Be open and transparent about policies and norms
 - Talk about norms and values, expectations at the department how do we do good research here?
 - Eg: discuss expectations on authorship contribution for each project and publication
 - Be transparent about policy, eg on promotion criteria

- Research environment at the department
 - SPACE: proper working environment, spaces where people can meet & work together, open door policy



Stimulating a positive RC 2

- Stimulate good leadership and supervision
 - Educate supervisors and leaders, work on professionalisation of leadership skills
 - Create moments of intervision, exchanging experiences, peer feedback

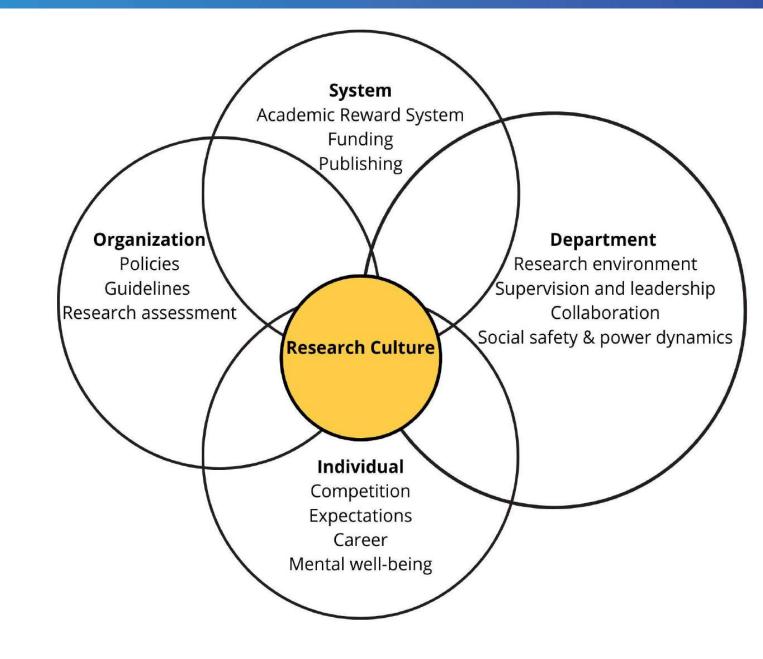
- Create moments of interaction, stimulate talking to each other!
 - Scientific meetings wetenschapsmiddagen
 - Informal meetings (have drinks, outings)
 - Regular meetings between supervisors and phds

RC model

- Conversation tool
- To talk about RC

- How can a positive RC be stimulated at different levels?
- What can be your own role?
- As individual: junior-seniorleader/

department/institution?





Science Hoops Theatre play



References

- <u>CATALISI Catalysation of institutional transformations of Higher Education Institutions</u>
- Research Culture | Royal Society
- Brilliant Failures Institute for Brilliant Failures (briljantemislukkingen.nl)
- Tools to support and steward research culture | Royal Society
- H2020 INTEGRITY Empowering good practice in science
- Godfrey, E. (2001, June). Defining Culture: The way we do things round here. In 2001 Annual Conference (pp. 6-323).













Rita Santos et al.



Rationale:

- ✓ Dutch CoC on RI (2018) includes clear duties of care for institutions 'institutions should provide an open, safe and inclusive research culture, in which researchers discuss the standards for good research practices, hold each other accountable for compliance with the standards and feel safe to report any wrongdoing in research.'
- ✓ Power dynamics in PhD supervision and lack of awareness of RI (Haven et al. 2019).
- ✓ Unfair and non-inclusive practices for recognising and rewarding researchers (Haven et al. 2019;
 R&R Barometer, 2024).
- ✓ Social safety issues (fear of speaking up) (R&R Barometer, 2024).



Research Questions:

- ✓ How do researchers perceive and experience their institution's culture regarding responsible conduct of research (RCR) and the recognition and rewards of scientific outputs?
- ✓ What aspects of responsible conduct of research (RCR) and the recognition and rewards of scientific outputs do researchers consider effective or in need of change?
- ✓ Are there differences in how researchers from different ranks and disciplines perceive and experience the research culture at their institution?
- ✓ How do researchers from different backgrounds experience the research culture at their institutions?



Target group

 PhD candidates, junior researchers, Postdoctoral researchers, Assistant, Associate and Full professors, lecturers, heads of department and those with a leadership role (e.g. dean of faculty)

Focus

- Responsible Conduct of Research (e.g. authorship and collaboration, OS, supervision, training)
- Recognition & Rewards
 (e.g. quality vs quantity,
 collaboration, society
 impact)
- Well-being & social safety

Outcomes

- Recommendations to redefine current institutional policies
- Initiatives to raise awareness for a positive research culture
- Joint study with UCC &
 UJI



- Non-validated survey
- Inspired by Haven et al. (2019) and Wellcome Trust (2020) surveys
- Pilot survey study

Survey

Qualtrics

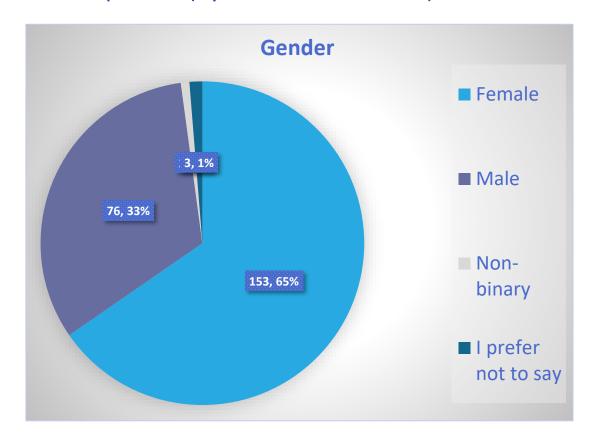
- GDPR approved
- No email and IP address collection
- Anonymous link

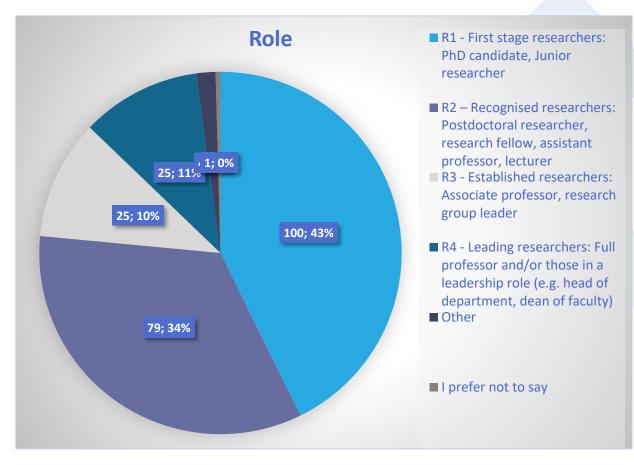
- Heads of department
- Secretaries
- Research Institutes
- Newsletters
- Flyers, personal contacts

Data collection (ongoing)



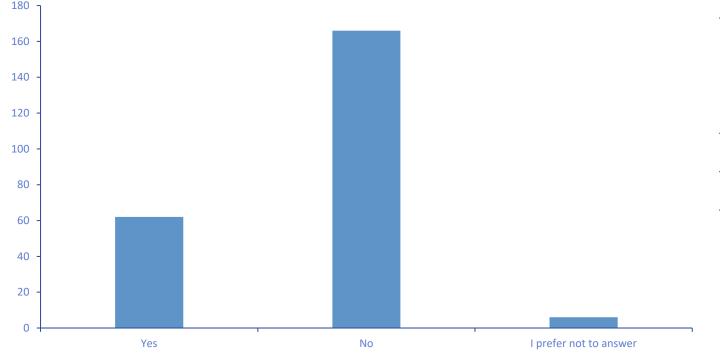
234 responses (by the 4th November)





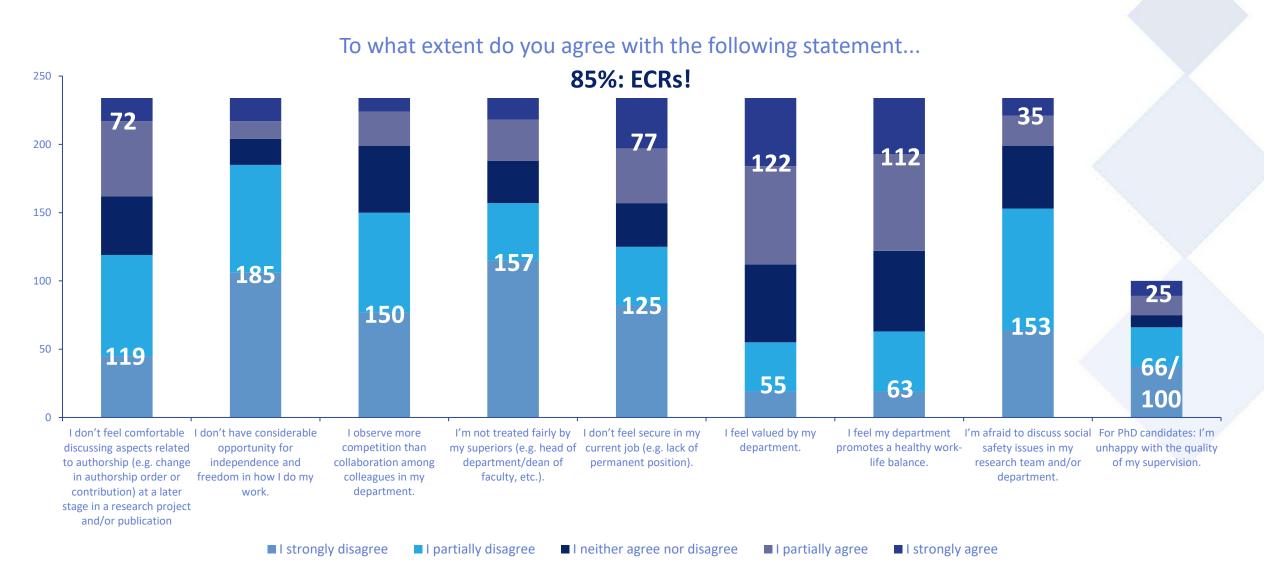


Do you identify with a group you feel is underrepresented in academia (e.g. due to ethnicity, gender identity, sexual orientation, disability or additional needs)?

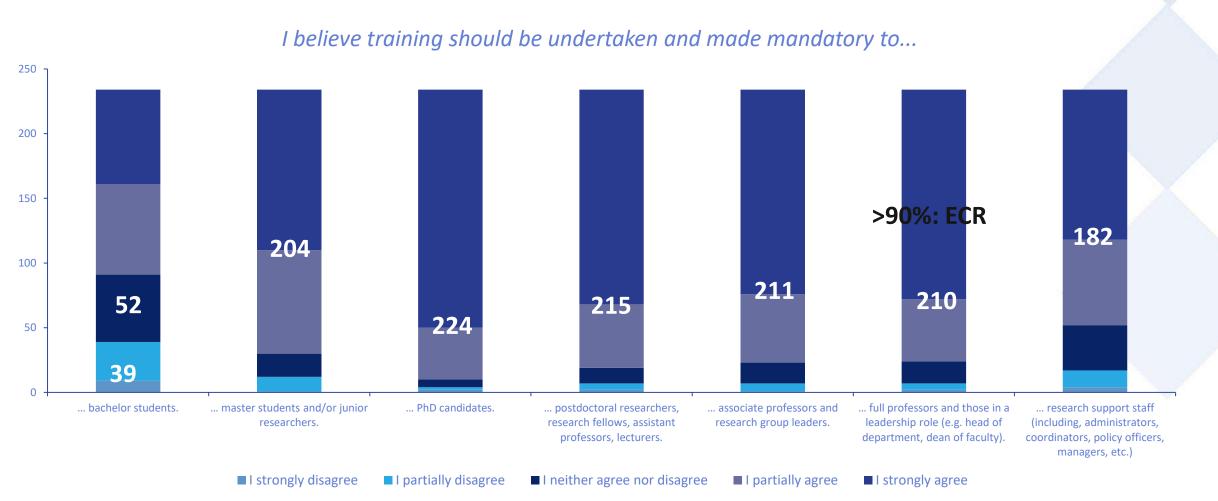


- ✓ Women (underrepresentation in higher academic roles)
- ✓ LGBTQ+
- ✓ Race/Religion/Ethnicity
- ✓ International background

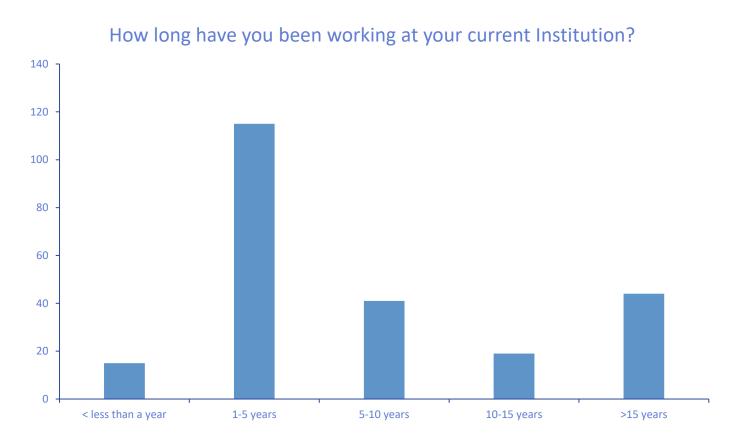


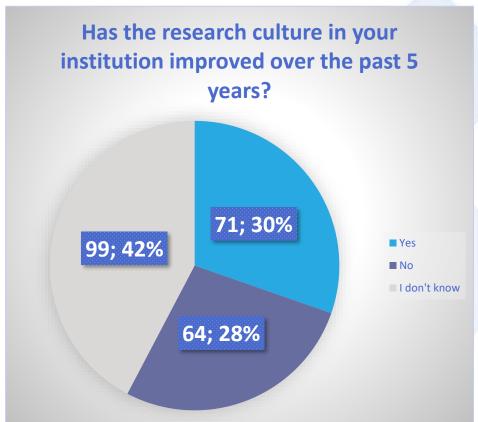




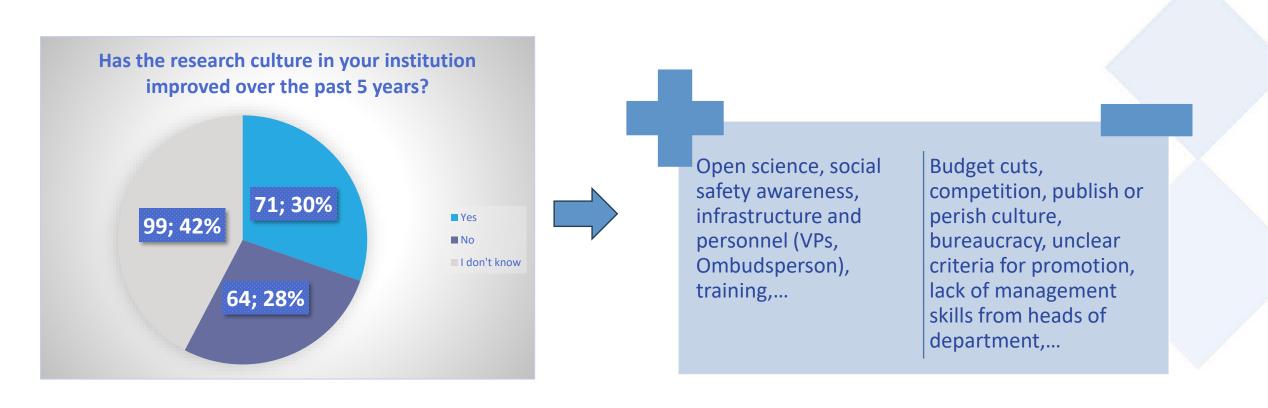














Name one practical action that you consider playing a relevant role in fostering a positive research culture at your institution

Reward managers for the success of their team and not on individual success

Dialogue sessions to discuss ups and down in the team and social safety issues

Clear and transparent actions on cases of misconduct (including verbal threats)

Openness and transparency on hiring and promotion criteria

Pre-registration of studies for masters and PhDs and incentives for supervisors to follow

Department skills workshops: improve collaboration and learning among colleagues

Research Culture and Social Safety Taskforce supported by Institutional board with clear mandates



Full study and collaborative analysis with UCC and UJI in 2026!

QUESTIONS'



CATALISI

Catalysation of institutional transformations of Higher Education Institutions through the adoption of acceleration services

UJI RC survey



get





Laura Bernal-Sánchez

Technical secretariat of research ethics committees Research and Transfer Management Service (SEGIT) Research staff in training









Aim of the communication

- Present some survey results
 - What Do We Think About How Our Research Is Scientifically Evaluated? – Survey Results







Inicio • UJI: Comunidad Universita... • Documents institucionals ... • Altres serveis i departame... • CoARA y ENCA-UJI

CoARA y ENCA-UJI

Buscar en la colección ...

Q Buscar



URI permanente para esta colección http://hdl.handle.net/10234/734880

Evaluación de la actividad investigadora (CoARA-UJI)

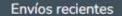
Web: https://www.uji.es/investigacio/base/coara/

Estrategia de Ciencia Abierta (ENCA-UJI)

Web: https://www.uji.es/investigacio/base/enca/

https://repositori.uji.es/collections/509c8e95-120e-43df-9f62-

5984d9c69b04



Palabras claves

Oficina de Promoció i Avaluació de la Qualitat · OPAO

METHODOLOGY: POPULATION AND SAMPLE



METHODOLOGY: POPULATION AND SAMPLE



POPULATION: 976 members of the university community (teaching and research staff), as of 10/09/2024.SAMPLE: 330 responses (33.81% of the population).

CONFIDENCE LEVEL: 95%

MARGIN OF ERROR: \pm 4.39%The calculation assumes maximum population heterogeneity, with p = q = 50%, and considers a finite population.

DATA COLLECTION PERIOD: Between November 25 and December 22, 2024.

FORMAT: Online (via the Qualtrics platform)

1.CLASSIFICATION OF FULL-TIME TEACHING AND RESEARCH STAFF OF TOPLISH

GROUP R1:

- Assistant
- Researcher (without a PhD)
- Senior Research Technician (without a PhD)
- Research Staff in Training (Programs: FPI State Plan, FPU State Plan, Generalitat Valenciana, Prometeo GV, UJI internal program, Santiago Grisolía GV)

GROUP R2:

- Assistant Professor with PhD Type I
- Assistant Professor with PhD Type II
- Contracted Researcher with PhD (Program: PICD UJI)
- Postdoctoral Researcher (*Programs:* GenT PhD with international experience, GV, Juan de la Cierva–Training, Juan de la Cierva–Incorporation, State Plan, UJI internal program, Ramón y Cajal)
- Researcher (with PhD)
- Senior Research Technician (with PhD)

1.CLASSIFICATION OF FULL-TIME TEACHING AND RESEARCH STAFF OF TOPLISH

GROUP R3:

- Associate Professor
- University School Chair
- Contracted Professor with PhD
- Research Staff (*Program: GenT Excellence PhD*)
- Associate Professor at University School
- Collaborating Professor
- Visiting Professor

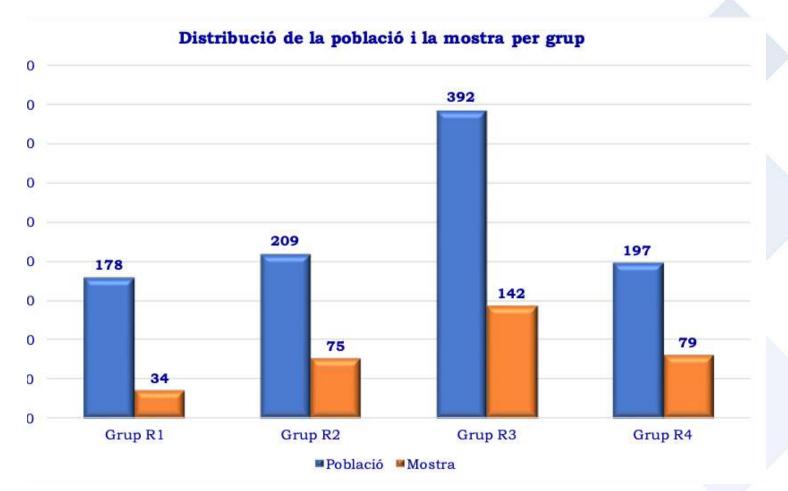
GROUP R4:

University Chair

1.CLASSIFICATION OF FULL-TIME TEACHING AND RESEARCH STATEFORDILISI

The sample maintains a proportional representation across all four groups.

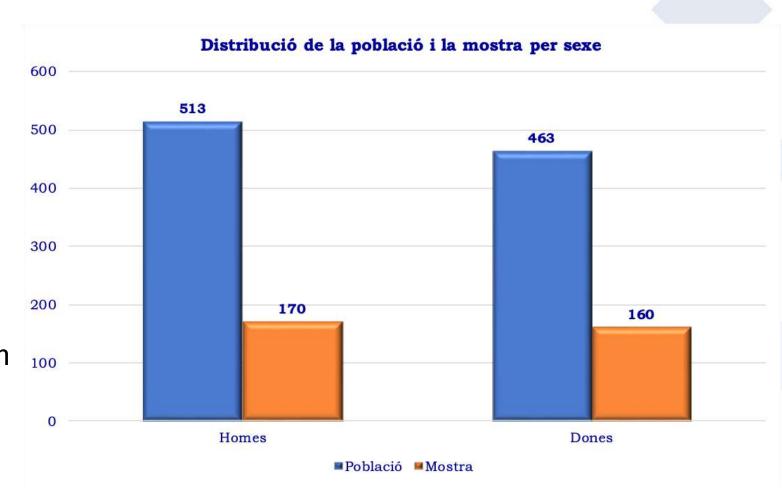
- R3 having the largest share in both the population (392) and the sample (142).
- R1 group, shows the lower participation, as only 31 out of 178 people have responded.





The chart highlights a proportional balance between men and women in both the population and the sample, with men slightly more represented overall.

Specifically, 513 men and 463 women make up the population, while the sample includes 170 men and 160 women.

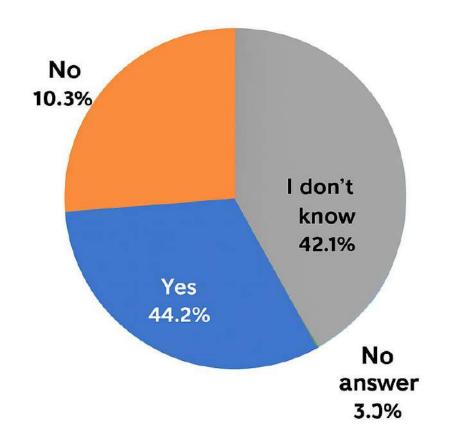






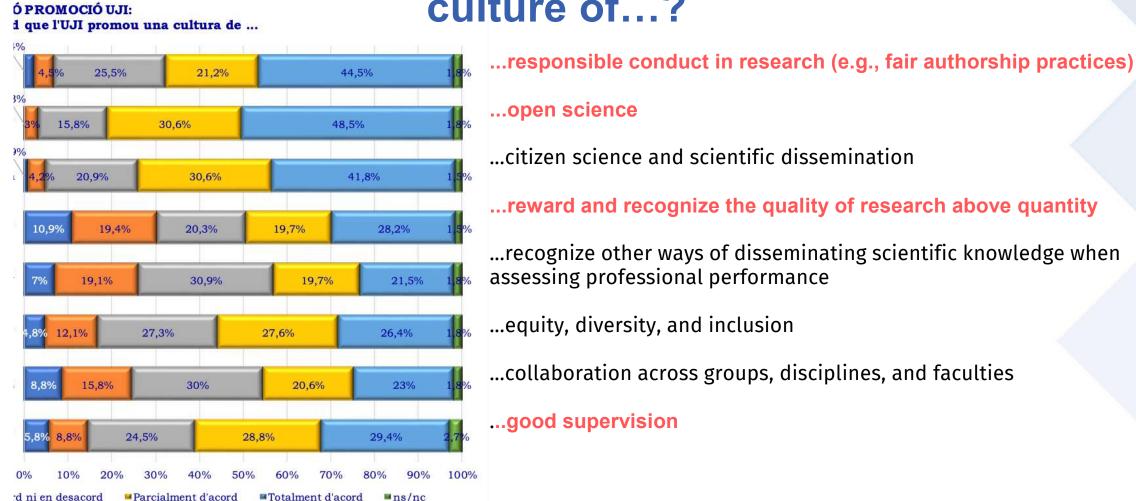
- 44.2% of respondents (146 out of 330) believe that IUJI's research culture has improved over the past five years.
- However, a nearly equal proportion,42.1% (139 respondents) express uncertainty, answering 'I don't know'.

Has the research culture at UJI improved in the last 5 years?



Key Findings on Research Culture: Culture promotion CATALISI

How much do you agree that UJI promotes a culture of...?



Key Findings on Research Culture



How much do you agree that UJI promotes a culture of...?

...responsible conduct in research (e.g., fair authorship practices)

 Nearly 67.3% of respondents recognize a clear institutional commitment to ethical authorship and research behavior.

...open science

 While 62.7% show positive alignment, the relatively high neutrality indicates that open science practices may still lack visibility or consistent implementation.

..reward and recognize the quality of research above quantity

• Only 15.7% totally agree and 36.1% partially agree

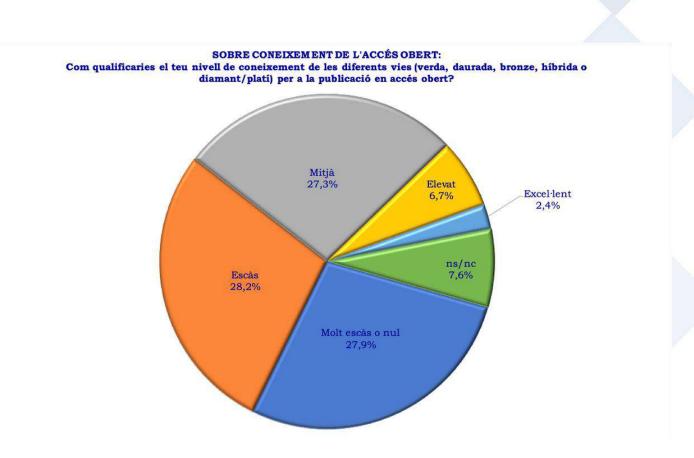
..good supervision

• With 61.4% agreement, the data reflects a generally favorable perception of supervisory support.

Key Findings on Research Culture: Open science CATALISI

How would you rate your knowledge of the different open access publishing routes?

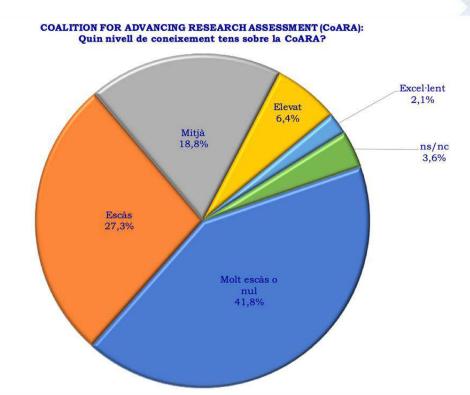
- Almost **56.1% of respondents** rated their knowledge of open access publishing channels as very poor (27.9%) or poor (28.2%), revealing a widespread lack of familiarity.
- Only 2.4% of participants considered their knowledge to be excellent.



& CATALISI

Key Findings on Research Culture: COARAHow much do you know about COARA?

- A 69.1% of respondents report having very little (41.8%) or little (27.3%) knowledge about CoARA, indicating limited awareness of the initiative.
- Only 2.1% of participants rate their knowledge as excellent, underscoring the need for broader dissemination and engagement efforts.





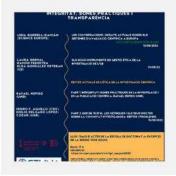
VI Jornada de Ética de la Investigación. CoARA y la reforma del sistema de evaluación



2024 Ciencia Abierta y el futuro de la universidad



2023 Publicaciones, ciencia abierta y el futuro de la evaluación científica en España



2022 Integridad, buenas prácticas y transparencia



2021 La investigación y los retos en la era del publica o perece. Transparencia, publicación científica y comités de ética



2020 Transparencia, acceso abierto y sistema de evaluación de la investigación. Los cambios que vienen.



Vídeo sesiones y materiales



El consultorio: Ética e Integridad

Píldoras informativas



Research Activity Assessment (CoARA-UJI)

28/06/2024 | INVEST Compartir in 😾 f 🚇 🖸 🗷 🖶

In January 2023, the Governing Council of the Universitat Jaume I unanimously approved its adhesion to the Coalition for the Advancement of Research Activity Assessment (CoARA). This agreement - adopted by the vast majority of Spanish universities and by the National Agency for Quality Assessment and Accreditation (ANECA) itself in April 2023 - involves the adoption of an action plan for the progressive reform of internal research assessment systems, a commitment to be completed within five years (January 2028).

This page defines the **CoARA** action plan for the Universitat Jaume I. The plan is drawn up with the aim of improving the quality, execution and impact of research at the Universitat Jaume I and includes the principles on which the evaluation criteria and processes should be based: quality and impact, diversity, inclusion and collaboration.



Agreement on Reforming Research Assessment

Resources





Coalition for Advancing Research Assessment



National chapter CoARA



Action Plan CoARA-UJI



IV Annual Conference on Research Ethics, publications, open science and the future of scientific evaluation in Spain

Programme:

28/09 17:00 "The future and possibilities of citizen science".

Inauguration: Jesús Lancis, Vice-rector for Research at the Universitat Jaume I.

Speaker: Josep Perelló (University of Barcelona)

Moderator: Lluís Martínez León.

This presentation will be in Valencian.

02/10 17:00 "Detecting anomalous patterns in scientific publications".

Speakers: Emilio Delgado-López-Cózar and Alberto Martín (University of Granada).

Moderator: Carlota Carretero

03/10 17:00 "COARA Coalition: where is scientific evaluation heading?".

Speaker: Eva Méndez (University Carlos III of Madrid)

Moderator: Ramón A. Feenstra

04/10 17:00 "The future of open access in the framework of the National Open Science Strategy (ENCA)".

Speaker: Pilar Rico (FECYT)

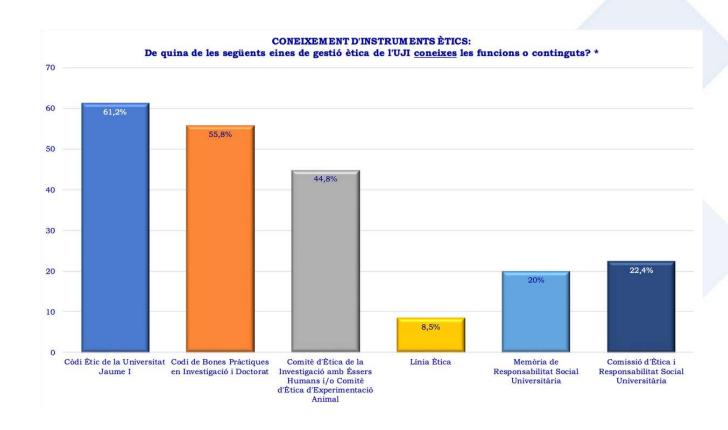
Moderator: Laura Bernal-Sánchez



Key Findings on Research Culture: Ethical and integrits ATALIS

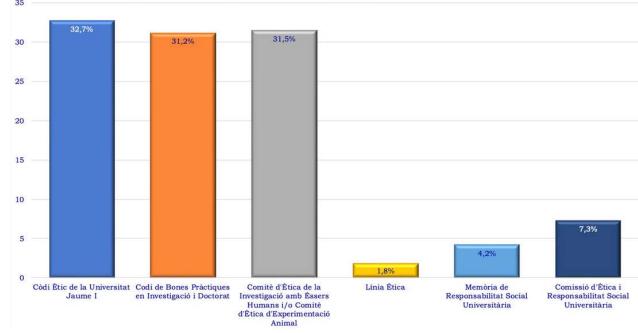
Which of the following bethical management tools are you familiar with in terms of their functions or content?

- The UJI Ethical Code is the most recognized tool, with 61.2% of respondents familiar with its functions or contents.
- In contrast, only 8.8% know about the Ethical Line, indicating a significant gap in awareness of this reporting mechanism.



Key Findings on Research Culture: Ethical and integration Which of the following old ethical management tools do you regularly use or consult?

- While we can see significant differences between the three most commonly used tools in terms of usage, the same cannot be said for use, which remains consistent across all three cases.
- While more people are familiar with the code of good practice in research (55.8%) than with ethics committees (44.8%), in terms of use, ethics committees (31.5%) are used more than the code of good practice (31.2%).



CONCLUSION-REFLEXION





Effort -goal-achievement































To what extent do you agree with the following opinions on the use of quantitative indicators in assessment?

Statement	%
It is useful for evaluating research in my field.	29.3% of respondents totally agree
Activities not reflected in the indicators could be disregarded.	64.9% disagree (totally or partially) with the idea that non-measurable activities are irrelevant for evaluation, showing strong support for qualitative dimensions.



To what extent do you agree with the following opinions on the use of quantitative indicators in assessment?

Statement	%
It can cause mental health problems.	75.7% agree (partially or totally) that indicators can cause stress or anxiety a strong signal of emotional strain.
Final result of the assessment depends largely on the knowledge of those being assessed regarding quantitative assessment.	70.9% agree (partially or totally)
It can lead to malpractice outcomes.	4.8% agree (partially or totally)

- Boost Participation and Representation Develop targeted outreach strategies to increase engagement from underrepresented groups like R1, ensuring more balanced input across all segments.
- Clarify and Communicate Institutional Progress Address the high level of uncertainty by sharing clearer updates on improvements in research culture, using accessible formats and real examples to build trust and visibility.

- Strengthen Awareness of Ethical Tools and Practices Promote lesserknown resources such as the Ethical Line and CoARA through workshops, visual materials, and onboarding sessions to improve familiarity and usage.
- Enhance Training in Open Science and Evaluation Literacy Launch practical training sessions and guides to improve understanding of open access publishing and responsible evaluation, reducing stress and promoting fairer assessments.
- Integrate Qualitative Dimensions into Research Evaluation Revise evaluation frameworks to include non-measurable contributions, recognizing diverse research activities and reducing reliance on purely quantitative indicators.



Group discussion questions

- ✓ What kind of policy advice is recommended to further stimulate a positive RC?
- ✓ How do we keep track of changing RC?
 - ✓ Measurable criteria?
- ✓ What initiatives are already happening for stimulating a positive RC?
 - ✓ Which tools, examples?



Wrap up

Changing researcher assessment and research culture: policy insights



1300-1400 Lunch



@catalisi



































